U.S. Department of Justice Washington, DC 20530

# Supplemental Statement Pursuant to Section 2 of the Foreign Agents Registration Act of 1938, as amended

For Six Month Period Ending September 30, 2008

	For Six Month Period Ending September 30, 2008 (Insert date)					
			I - REG	ISTRANT		
l. (a) Ì	Name of	Registrant		(b) Registration	No.	
AP	CO Worl	dwide Inc.		4561		
(c) ]	Business	Address(es) of Registrant				
	Suite 80	n Street, N.W. 0 gton, D.C. 20005	,			
2. Has	there be	en a change in the information	previously furnish	ed in connection wi	th the following:	
	(a)	If an individual: N/A (1) Residence address (2) Citizenship (3) Occupation	Yes  Yes  Yes  Yes	No 🗆 No 🗅		2008 O
	(b)	If an organization: (1) Name (2) Ownership or control (3) Branch offices	Yes □ Yes □ Yes ☑	No 🗹 No 🖸 No 🗆		2008 OCT 30 PN 3: 07 CRM/ISS/REGISTRATION UNIT
	(c)	Explain fully all changes, if See Attachment 2	any, indicated in it	ems (a) and (b) abov	/e.	3: 07
		IF THE REGISTRAN	T IS AN INDIVIDUAL	, OMIT RESPONSE TO	O ITEMS 3, 4 AND 5(a).	
3. If yo		oreviously filed Exhibit C1, sta have you filed an amendment t	Yes 🗖	nges therein have oc No 🗹 Yes 🗖 '	No No N/A	onth reporting period.

If no, please attach the required amendment. N/A

<sup>1</sup> The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, Criminal Division, U.S. Department of Justice, Washington, DC 20530.)

4. (a) Have any perso period?	ns ceased acting as partners, Yes \(\Boxed{\text{Person}}\) No \(\begin{array}{ccc}\)		nilar officials	of the registrant d	uring this 6 month reporting
If yes, furnish the fo	ollowing information:				
Name	Ū	Position		Dat	e connection ended
· N/A		•			
(b) Have any perso	ons become partners, officers Yes No		cials during t	his 6 month report	ing period?
If yes, furnish the fo	ollowing information:				
Name	Residence address	Citiz	enship	Position	Date assumed
N/A					
				٠	
5. (a) Has any person	named in item 4(b) rendered Yes No D		nerance of the	e interests of any fo	oreign principal? N/A
If yes, identify each	such person and describe h	is service.			
N/A					
connection with	byees or individuals, who have the registrant during this 6		stration stater Yes 🔲	nent, terminated th No 🗹	neir employment or
Name	ollowing information:	on or connection		Date terminate	d
N/A	1 051110	n or connection		Date terminates	
or will render ser	onth reporting period, has the rvices to the registrant direct a related or similar capacity?	ly in furtherance of the ir	<u>ite</u> rests of an	ny other capacity, y foreign principal	any persons who rendered (s) in other than a clerical or
If yes, furnish the fo	ollowing information:				
Name	Residence address	Ci	tizenship	Position	Date assumed
N/A					
6. Have short form re	gistration statements been fi	led by all of the persons a	named in Iter No 🏻 N	ns 5(a) and 5(c) of V/A	the supplemental statement?
If no, list names of	persons who have not filed	the required statement.			
N/A					

### II - FOREIGN PRINCIPAL

7. Ha	s your co	onnection with any		nded during th No ☑	is 6 month r	eporting period	1?	
If y	es, furnis	sh the following inf	ormation:					
Na	me of for	reign principal				Date of t	ermination	
N	/A							
					/			
				•				
8. Ha	ve you ac	equired any new for	eign principal² du Yes 🗸	ring this 6 mor	nth reporting	period?		
If y	es, furnis	h the following inf	ormation:	_				
Na	me and a	ddress of foreign p	rincipal			Date acq	uired	
G	erman Fe	deral Ministry for th	ne Environment, N	uclear Safety,	•	July 15,	2008	
A	lexanders							
	)178 Berl ermany	in			·			
	J							·
9. In a	addition t	to those named in It	ems 7 and 8, if an	y, list foreign p	orincipals² w	hom you conti	nued to represent during	the 6 month
rep	orting pe	riod.						
En	ibassy of	the Republic of Kaz	zakhstan in Washir	ngton, D.C.				
								`
	_							
10.	EXHIB	ITS A AND B	,					
	(a)	Have you filed for	or each of the new	ly acquired for	eign princip	als in Item 8 th	e following:	
1		Exhibit A³ Exhibit B⁴	Yes ⊄ Yes ⊄	No [ No [	]			
		If no, please attac	ch the required ext	nibit. N/A				
	(b)		any changes in the		d B previou	sly filed for an Yes □	y foreign principal whor No ☑	n you
		If yes, have you	iled an amendmei	nt to these exhi	ibits?	Yes 🗆	No 🔲 N/A	
		If no, please attac	h the required am	endment N/A				
		,, preuse unu	qog am					

<sup>2</sup> The term "foreign principal" includes, in addition to those defined in Section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9).) A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

3 The Exhibit A, which is filed on Form CRM-157 (Formerly OBD-67), sets forth the information required to be disclosed concerning each foreign principal.

4 The Exhibit B, which is filed on Form CRM-155 (Formerly OBD-65), sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

### **III - ACTIVITIES**

11. During this 6 month reporting period, have you er named in Items 7, 8, and 9 of this statement?	ngaged in any activiti Yes ☑	es for or rendered any No     No	services to any foreign p	orincipal
If yes, identify each such foreign principal and de	escribe in full detail y	our activities and serv	vices:	
See Attachment 11				
•				
12. During this 6 month reporting period, have you or Yes No	n behalf of any foreig	n principal engaged in	n political activity <sup>5</sup> as defi	ined below?
If yes, identify each such foreign principal and des the relations, interests and policies sought to be in arranged, sponsored or delivered speeches, lecture names of speakers and subject matter.	fluenced and the mea	ns employed to achie	ve this purpose. If the re-	gistrant
See Attachment 12				
,				
13. In addition to the above described activities, if any	, have you engaged i	n activity on your ow	n behalf which benefits a	nv or all of
your foreign principals? Yes	No 🗹			,
If yes, describe fully.				
N/A				

<sup>5</sup> The term "political activities" means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

### IV - FINANCIAL INFORMATION

14 .	(a)	RECEIPTS - MONIES  During this 6 month r statement, or from any either as compensation	y other source, for or	in the interests of any	y foreign principal named in such foreign principal, any o	Items 7, 8, and 9 of this contributions, income or money
		If no, explain why.				
		N/A				
		If yes, set forth below	in the required detail	l and separately for eac	ch foreign principal an accou	nt of such monies <sup>6</sup>
		Date	From whom	Purpose		Amount
		See Attachment 14	<b>A</b> .			
				•		
						Total
						Total
(	(b)	RECEIPTS - FUND RAIS  During this 6 month reforeign principal name	eporting period, hav-	e you received, as part 9 of this statement? Yo	of a fund raising campaign <sup>7</sup> , es \( \sqrt{No} \)	any money on behalf of any
		If yes, have you filed	an Exhibit D <sup>8</sup> to you	r registration? Y	es No N/A	
	٠.	If yes, indicate the dat	te the Exhibit D was	filed. Date	N/A	·
(	(c)		eporting period, have and 9 of this statemen		g of value <sup>9</sup> other than money urce, for or in the interests o	from any foreign principal fany such foreign principal?
		If yes, furnish the follo	owing information:			
		Name of foreign principal	Date received	Description of thing of value	Purpos	se
		N/A				

<sup>6, 7</sup> A registrant is required to file an Exhibit D if he collects or receives contributions, loans, money, or other things of value for a foreign principal, as part of a fund raising campaign. (See Rule 201(e).)

8 An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fund raising campaign and transmitted for a foreign principal.

9 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

l5. (a	During this 6 m	S - MONIES nonth reporting period,	have you				•
	(1) disbursed of 9 of this sta		connection with activit	y on behalf of a Yes ☑	any fore No	eign principal named in Items 7, 8, and	
	(2) transmitted	I monies to any such for	reign principal?	Yes 🗆	No	<b>7</b>	
	If no, explain in N/A	n full detail why there v	vere no disbursements	made on behal	f of any	foreign principal.	
		below in the required d tted, if any, to each fore		r each foreign p	orincipa	l an account of such monies, including	
	Date	To whom	Purpose			Amount	
	See Attachn	nent 15A					

Total

If you furnish	the following information	nn:		
•				
Date disposed	Name of person to whom given	On behalf of what foreign principal	Description of thing of value	Purpose
N/A				,
		·		
			·	
		*		
During this 6 r other person, r	nade any contributions of	nave you from your own fund of money or other things of v tion, convention, or caucus h	alue in connection with an	ither directly or through any election to any political offic political office?
If yes, furnish	the following information	on:		•
Date	Amount or thing of value	Name of political organizati		Name of candidate

### V - INFORMATIONAL MATERIALS

16.	During this 6 month report Yes 🖸 *	ting	period, did you pr No 🏻	epare; dis	semir	nate or cause to be diss	eminated an	y inf	ormational materials 12?
	IF YES, RESPOND TO THE REMAINING ITEMS IN SECTION V.								
	*Registrant prepared informational materials that were disseminated by the foreign principal.								
17	Identify each such foreign								
1,,	,	-	•		C				
	Embassy of the Republic	oi K	azakustan in Wash	ington, D.	.C.	·			
			•						
18.	During this 6 month repor							-	
	finance your activities in	prepa	iring or dissemina	ing infor	matio	nal materials? Y	es 🗆	N	o 🗹
	If yes, identify each such	forei	gn principal, speci	fy amoun	t, and	indicate for what peri	iod of time.		
	N/A								
	****								
19.	During this 6 month repor materials include the use of				ı prep	aring, disseminating o	or causing th	e diss	semination of informationa
	Radio or TV broadcasts		Magazine or new	spaper		Motion picture films			Letters or telegrams
	Advertising campaigns		Press releases			Pamphlets or other p	ublications		Lectures or speeches
П	Internet	Ø	Other (specify)	Written	ctater	nents distributed by the			-
20.	During this 6 month report following groups:	ting	period, did you dis	seminate	or ca	use to be disseminated	l information	nal m	aterials among any of the
	Public Officials		☐ Nev	vspapers		1	Librarie	:s	
	Legislators		☐ Edit	ors		1	☐ Educati	onal	institutions
	Government agencies					sociations	☐ Nationa		•
V	Other (specify) The	fore	gn principal disser	ninated in	form	ational materials to leg	islators and l	legisla	ative staff.
21.	What language was used in	n the	informational ma	terials:					
Ø	English					Other (specify)			
					0.7				
	Did you file with the Regi seminated or caused to be d						n of such in! es □		itional materials  ☑ ★
	*Registrant did not disseming	nate	uch materials; hov	vever, a co	opy of	f the materials is attach	ed hereto as	Attac	chment 22.
23.	Did you label each item of Yes		informational ma No 🗹	terials wi	th the	e statement required by	Section 4(t	o) of 1	the Act?

<sup>12</sup> The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the

### VI - EXECUTION

In accordance with 28 U.S.C. §1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to the truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature )	(Type or print name under each signature 13)
10-30-08	13 Whold
	Terry W. Judd, Vice President (Pursuant to a Power of Attorney previously filed)
	there we will be a second of the second of t
	4

DRM/ISS/REGISTRATION UNIT

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### UNITED STATES DEPARTMENT OF JUSTICE FARA REGISTRATION UNIT NATIONAL SECURITY DIVISION WASHINGTON, D.C. 20530

### **NOTICE**

Please answer the following questions and return this sheet in triplicate with your Supplemental Statement:

1. form		ver to Item 16 of Se M-154 Supplementa	ection V (Informational National Nation	Materials – page 8 of	Form NSD-2,
	YES	X	or NO		CRM.
(If yo	our answer to q	uestion 1 is "yes" o	do not answer question 2	of this form.)	2008 OCT 30 PN 3: 07 CRM/ISS/REGISTRATION UNI
2.	Do you disse	eminate any materi	al in connection with you	r registration: N/A	O PM
	YES		or NO	:	
films past		, posters, brochures	please forward for our reverse, press releases, etc. which the second se	ch you have dissemi	
Pleas	y W. Judd se type or print atory on the lin				
Vice	e President				
	Title				



### U.S. Department of Justice

### National Security Division

Washington, DC 20530

## THIS FORM IS TO BE AN OFFICIAL ATTACHMENT TO YOUR CURRENT SUPPLEMENTAL STATEMENT - PLEASE EXECUTE IN TRIPLICATE

### SHORT-FORM REGISTRATION INFORMATION SHEET

### SECTION A

The Department records list active short-form registration statements for the following persons of your organization filed on the date indicated by each name. If a person is not still functioning in the same capacity directly on behalf of the foreign principal, please show the date of termination.

### Short Form List for Registrant: APCO Worldwide, Inc.

Last Name	First Name and Other Names	Registration Date	Termination Date	Role	
Bissen	Robert J.	03/07/1994			
Bonker	Don L.	03/30/1993			
Hausrath	Jan	02/03/1994			
Judd	Terry W.	02/26/1992			
Kraus	Margery	09/03/1991			
Krause	Charles A.	03/09/2001			
Namsrai	Ariuna	10/17/2001		S2 ~,	
Peterson	J.E.	05/07/1999		2008 2477	
Riegle	Donald W. Jr., (Don)	01/30/2002		ISSI	
Schumacher	Barry J.	09/03/1991		0CT	
Solarz	Stephen J.	08/07/1996		A III	
Kraus	Evan	12/04/2007		2008 OCT 30 CRM/ISS/REGISTI	
Emond Matteucci	Jessica Rose	,09/16/2008		PM 3:	
Matteucci	Rose	,09/16/2008 09/16/2008 09/16/2008	· · · · · · · · · · · · · · · · · · ·	: 07	
Levine	Jodi	09/16/2008	3	,	



### U.S. Department of Justice

### **National Security Division**

Washington, DC 20530

### **SECTION B**

In addition to those persons listed in Section A, list below all current employees rendering services directly on behalf of the foreign principals(s) who have not filed short-form registration statements. (Do <u>not</u> list clerks, secretaries, typists or employees in a similar or related capacity). If there is some question as to whether an employee has an obligation to file a short-form, please address a letter to the Registration Unit describing the activities and connection with the foreign principal. N/A

Name	Function		Date Hired	
			,	
Signature: Wice President	fill D	ate: <u>10-3</u>	0-01	

CRM/ISS/REGISTRATION UNIT

### **ATTACHMENT 2**

Has there been a change in the information previously furnished in connection with the

lowing:			•
(a)	If an individual: N/A		
	(1) Residence address	Yes [ ]	No [ ]
,	(2) Citizenship	Yes [ ]	No [ ]
	(3) Occupation	Yes [ ]	No [ ]
(b)	If an organization:		
	(1) Name	Yes [ ]	No [ X ]
	(2) Ownership or control	Yes [ ]	No [ X ]
	(3) Branch offices	Yes [X]	No [ ]

Attached is a complete list of the registrant's branch and affiliated offices.

CRM/ISS/REGISTRATION UNIT

### **AMERICAS**

Legal Name: APCO Worldwide Inc.

(Global Headquarters) 700 12<sup>th</sup> Street, N.W. Suite 800

Washington, DC 20005 Phone: 202.778.1000

Fax: 202.778.1092

Legal Name: APCO Worldwide Inc.

(Chicago) 200 West Madison Street

Suite 3910

Chicago, IL 60606 Phone: 312.440.8686 Fax: 312.440.7373

Legal Name: APCO Worldwide Inc.

(Lexington) 1781 Chandler Lane Lexington, KY 40505 Phone: 859.523.9007

Legal Name: APCO Worldwide Inc.

(New York City)
51 Madison Avenue
Suite 2510
New York, NY 10100

Phone: 212.300.1800 Fax: 212.300.1819

Legal Name: APCO Worldwide Limited

(Ottawa) 255 Albert Street Suite 703 Ottawa, Ontario K1P 6A9 Canada Phone: 613.786.7600

Fax: 613.565.1937

Legal Name: APCO Worldwide Inc.

(Raleigh)

3737 Glenwood Avenue

Suite 100

Raleigh, NC 27612 Phone: 919-782-2370

Fax: 919-573-6170

Legal Name: APCO Worldwide Inc.

(Sacramento) 1201 K Street Suite 1200

Sacramento, CA 95814 Phone: 916.554.3400 Fax: 916.554.3434

1'ax. 910.334.3434

Legal Name: APCO Worldwide Inc.

(Seattle)

520 Pike Street

Suite 1001

Seattle, WA 98101-1385

Phone: 206.224.4340 Fax: 206.224.4344

Legal Name: APCO Asero LLC

(Washington, DC) 700 12<sup>th</sup> Street, NW

Suite 800

Washington, DC 20005

Phone: 202.778.1000 Fax: 202.778.1092

Legal Name: ASERO Worldwide Inc.

(Washington, DC) 700 12<sup>th</sup> Street, NW

Suite 800

Washington, DC 20005

Phone: 202.778.1000 Fax: 202.778.1092

### **ASIA**

Legal Name: APCO Worldwide Limited

(Bangkok)

153/3, Goldenland Building

3<sup>rd</sup> Floor, Room D3

Soi Mahardlekluang 1

Rajdamri Road

Lumpini, Patumwan

Bangkok 10330

Thailand

Phone: +66.2652.2492 Fax: +66.2652.2493

Legal Name: APCO (Beijing) Consulting Company Limited

(Beijing)

16th Floor, NCI Tower

12A Jianguomenwai Avenue

Chaoyang District

Beijing 100022

People's Republic of China

Phone: +86.10.6505.5128 Fax: +86.10.6505.5258

Legal Name: APCO Worldwide Inc. (Representative Office)

(Beijing)

16<sup>th</sup> Floor, NCI Tower

12A Jianguomenwai Avenue

Chaoyang District

Beijing 100022

People's Republic of China

Phone: +86.10.6505.5127

Fax: +86.10.6505.5257

Legal Name: APCO Asia Limited (Representative Office)

(Hanoi)

4th Floor

20 Tran Hung Dao Street

Hoan Kiem District

Hanoi

Vietnam

Phone: +84.4.933.4026

Fax: +84.4.933.4027

Legal Name: APCO Asia Limited (Representative Office)

(Ho Chi Minh City)

Unit 12, 4th Floor, Saigon Centre Building

65 Le Loi

District 1

Ho Chi Minh City

Vietnam

Phone: +84.8.821.7895

Legal Name: APCO Asia Limited

(Hong Kong)

Principal Office:

9/F, Cambridge House, TaiKoo Place

979 King's Road

Hong Kong

Phone: +852.2866.2313

Fax: +852.2866.1917

### Legal Name: Batey Burn Limited

(Hong Kong)

17<sup>th</sup> Floor

Castle Tower

No1 Castle Road

Mid-Levels

Hong Kong

### Legal Name: APCO Asia Limited (Representative Office)

(Guangzhou)

Room A1309, Tower A Centre Plaza

161 Linhe Xi Road

Tianhe District

Guangzhou 510620

People's Republic of China

Phone: +86.20.3825.1955

Fax: +86.20.3825.1016

### Legal Name: PT APCO Worldwide

(Jakarta)

10th Floor, World Trade Center

Jl. Jend. Sudirman Kav. 29-31

Jakarta 12920

Indonesia

Phone:+62.21.5296.4611

Fax: +62.21.5296.4610

Legal Name: APCO Worldwide Holdings Limited

(Mauritius)

608 St. James Court

Port Louis Mauritius

Phone: +230.210.9000 Fax: +230.210.9001

Legal Name: APCO Worldwide (India) Private Limited

(Mumbai)

107, Level One, Madhava

Plot C4 - Block E, Bandra Kurla Complex

Mumbai - 400 051

India

Phone: +91 22 4070 0152 Fax: +91 22 4070 0800

Legal Name: APCO Worldwide (India) Private Limited

(New Delhi) 2<sup>nd</sup> Floor

64, Okhla Industrial Estate - Phase III

New Delhi 110 020

India

Phone: 91.11.4605.7700 Fax: 91.11.4109.7711

Legal Name: APCO Asia Limited (Representative Office)

(Shanghai)

Suites 2102-2103 CITIC Square

1168 Nanjing Road West

Shanghai 200041

People's Republic of China Phone: +86.21.5298.4668

Fax: +86.21.5298.4669

Legal Name: APCO Worldwide Advisory Services Pte. Limited

(Singapore)

83 Clemenceau Avenue #10-08, UE Square

Singapore 239920

Phone: +65.6735.3077 Fax: +65.6836.0176

### **EMEA**

Legal Name: APCO Worldwide Netherlands B.V.

(Amsterdam)

Prins Bernhardplein 200 1097 JB Amsterdam The Netherlands

Legal Name: APCO Worldwide Netherlands Holding B.V.

(Amsterdam)

Prins Bernhardplein 200 1097 JB Amsterdam The Netherlands

Legal Name: ASERO Netherlands B.V.

(Amsterdam) Prins Bernhardplein 200 1097 JB Amsterdam The Netherlands

Legal Name: APCO Worldwide GmbH

(Berlin)

Kontorhaus Mitte Friedrichstrasse 186 10117 Berlin Germany

Phone: +49.30.59.000.2010 Fax: +49.30.59.000.2020

Legal Name: APCO Worldwide GmbH

(Bonn)

Poppelsdorfer Allee 114

53115 Bonn Germany

Phone: +49.228.60.48.518 Fax: +49.228.60.48.522

Legal Name: APCO Worldwide S.A.

(Brussels)

rue du Trône 130 Troonstraat

B-1050 Brussels

Belgium

Phone: +32.2.645.9811 Fax: +32.2.645.9812

Legal Name: APCO Worldwide Ltd

(Dubai)

The GATE Building DIFC Business Centre

Level 12, office number 16

P.O. Box 121208

Dubai

United Arab Emirates

Phone: +971.4.365.0410

Fax: +971.4.361.1999

Legal Name: APCO Worldwide SA

(Geneva)

Stauffer & Associates

Attn: Fidele Joye, LL.M. Avocat

rue du General-Dufour 15

1204 Geneva

Switzerland

Phone: +41.21.32128.22

Fax: +41.21.321.20.95

Legal Name: APCO Africa (PTY) Limited

(Johannesburg)

Arcay House

3 Anerley Road

Parktown 2139

Johannesburg

South Africa

Legal Name: APCO Worldwide (Proprietary) Limited

(Johannesburg)

2nd Floor, 135 West Street

2196 Sandton, Johannesburg

South Africa

Phone: +27.11.685.1200

Fax: +27.11.685.1201

Legal Name: APCO Worldwide Limited

(London)

90 Long Acre

London, WC2E 9RA

United Kingdom

Phone: +44.207.526.3600

Fax: +44.207.526.3699

Legal Name: APCO Worldwide Inc.

(Moscow)

11 Leontievsky Pereulok

Moscow 125009

Russia

Phone: +7.495.937.5525 Fax: +7.495.937.5526

Legal Name: APCO Worldwide Sarl

(Paris)

8 rue de la Michodière

75002 Paris

France

Phone: +33.1.44.94.8666 Fax: +33.1.44.94.8668

Legal Name: APCO Worldwide S.p.A.

(Rome)

Via Condotti 61/A

00187 Rome

Italy

Phone: +39.06.697.6661 Fax: +39.06.679.2391

Legal Name: APCO Worldwide Ltd.

(Tel Aviv)

Bet Zamir

22a Raoul Wallenberg Street

Ramat-Hahayal 69719

Tel Aviv

Israel

Phone: +972.3.766.2600 Fax: +972.3.648.0243

Legal Name: ASERO Netherlands B.V

(Tel Aviv)

Bet Zamir

22a Raoul Wallenberg Street

Ramat-Hahayal 69719

Tel Aviv

Israel

Phone: +972.3.766.2600

Fax: +972.3.648.0243

Legal Name: APCO Worldwide Limited

(Warsaw) Ul. Prusa 2 – 1st Floor Warsaw 00-493

Poland

Phone: +48.22.657.0105 Fax: +48.22.657.0111

### **ATTACHMENT 11**

During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, and 9 of this statement?

Yes [X] No []

If yes, identify each such foreign principal and describe in full detail your activities and services:

### Embassy of the Republic of Kazakhstan in Washington, D.C.

The Registrant monitored U.S. government activities and provided strategic advice and counsel to the foreign principal concerning the interests of positive U.S./Kazakhstan relations. See also the response to Question 12.

# German Federal Ministry for the Environment, Nuclear Safety, and Nature Conservation

The Registrant developed a PowerPoint presentation for the foreign principal regarding trans-Atlantic cooperation on climate control issues.

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### **ATTACHMENT 12**

During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity as defined below?

Yes [X] No []

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

### Embassy of the Republic of Kazakhstan in Washington, D.C.

The Registrant, on behalf of the foreign principal, commissioned an independent report written by the Central Asia-Caucasus Institute at Johns Hopkins University: *Kazakhstan in its Neighborhood.* APCO commissioned the independent report and sponsored a think tank discussion on the report hosted by the Institute. The purpose of the report and discussion was to provide information about the economy and political environment in Kazakhstan.

On April 7 – 9, 2008, the Registrant accompanied the foreign principal on a trip to Seattle, Washington. During the three-day trip, Ambassador Erlan Idrissov and the Registrant met with representatives of the Seattle Chamber of Commerce, the PATH Global Health Initiative, the Jackson School of International Studies and the Ellison Center for Russian East European and Central Asian Studies at the University of Washington, the Seattle World Affairs Council, the Seattle Trade and Development Alliance, the Foundation for Russian American Economic Cooperation, the Bill & Melinda Gates Foundation, Boeing, Microsoft, the Pacific Northwest Center for Global Security, and the Russell Investment Group. The foreign principal and the Registrant also met with Representative Jim McDermott. The purpose of the trip and meetings was to promote U.S. investment in and trade with Kazakhstan.

On May 30, 2008, the Registrant assisted the foreign principal in notifying the following members of Congress about the visit of Alexander Mashkevich, Chairman of the Kazakhstan-based Euro-Asian Jewish Congress, to Washington, DC, on June 16, 2008, to receive an award from the National Council on Soviet Jewry: Senator Herb Kohl, Senator Diane Feinstein, Senator Ron Wyden, Senator

Barbara Boxer, Senator Norm Coleman, Senator Chuck Schumer and Senator Carl Levin.

On June 5, 2008, the Registrant helped the foreign principal arrange meetings between Kazakhstan's Deputy Minister of Industry and Trade, Zhanar Aitzhanova, and the following staff members of the House Ways and Means Committee: Tim Reif, Majority Staff Director; Angela Ellard, Minority Staff Director; David Thomas, Legislative Assistant; and Tshanda Kalombo, Trade Subcommittee Fellow.

The Registrant met with Representative Shelley Berkley on May 1, 4 and 15; Representative Gresham Barrett, Representative Sue Myrick and Representative Sheila Jackson Lee on September 23, 2008; Representative Ted Poe, Representative Ron Klein, Senator Mary Landrieu, Senator Jim Demint and Representative Ben Chandler on September 24, 2008; and Representative Jack Kingston, Representative Greg Walden, Representative Mike Rodgers, and Paul Grove, Democratic Staff Director of the Senate Appropriations Committee, on September 25, 2008; in each case in the interests of promoting positive US/Kazakhstan relations.

## German Federal Ministry for the Environment, Nuclear Safety, and Nature Conservation

The Registrant developed a PowerPoint presentation for the foreign principal regarding trans-Atlantic cooperation on climate control issues.

### **ATTACHMENT 14A**

### 14. (a) RECEIPTS -- MONIES

During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, and 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise?

Yes [X] No []

If no, explain why.

N/A

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.

Date From Whom Purpose Amount

SEE ATTACHED

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### FARA Report Payments Received 04/01/08 - 09/30/08

<u>DATE</u>	FROM WHOM	<u>PURPOSE</u>	<u>AMOUNT</u>
	Embassy Republic of Kazakhstan		
6/2/2008	Embassy Republic of Kazakhstan	For Professional Services Rendered	42,858.00
8/18/2008	Embassy Republic of Kazakhstan	For Professional Services Rendered	43,290.17
9/12/2008	Embassy Republic of Kazakhstan	For Professional Services Rendered	284,964.12
TOTAL REC	EIPTS		371,112.29

**NOTE:** No payments were received from the

German Federal Ministry for the Environment, Nuclear Safety, and Nature Conservation during the

reporting period.

### ATTACHMENT 15A

15. (a)	DISBURSEMENTS MONIES						
	During this 6 month reporting period, have you						
	(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, and 9 of this statement?						
		Yes	[ X ]	No	[]		
	(2)	transr	nitted mon	ies to any s	uch foreign p	orincipal?	
		Yes	[ ]	No	[ X ]		
	If no, explain in full detail why there were no disbursements made on behalf of any foreign principal.						
		N/A					
	princi	pal an a		_		separately for nonies transmit	_
Date	To W	hom			Purpose		Amount
							. 141

SEE ATTACHED

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### Foreign Agent Report Expenses 04/01/2008 - 09/30/2008

			Local Trans.	Meals	Office Expenses	Travel	Other	Notes
Embassy of	the Republic of Kazakhstan		<del></del>			,		
<u>Date</u>	To Whom:			,				
04/03/08	APCO Duplicating				0.47			
04/23/08	APCO Duplicating				5.88			•
06/04/08	APCO Duplicating				16.00			
06/24/08	APCO Duplicating				115.20			
09/11/08	APCO Duplicating				117.80			
09/22/08	APCO Duplicating				14.20			
)9/24/08	APCO Duplicating				13.00			
)5/13/08	URL Reservation Fees						465.89	1
05/19/08	JHU Payment		•				30,765.48	2
06/03/08	APCO Employee - Airfare					588.25		3
06/03/08	APCO Employee - Hotel					1,057.16		3
04/14/08	APCO Employee - Business Meal			139.36		-,-		4
06/03/08	APCO Employee - Business Meal		•	49.58				4
07/17/08	APCO Employee - Business Meal			90.10				4
04/14/08	APCO Employee - Local Travel		23.53	, , , , ,				
05/12/08	APCO Employee - Local Travel		44.53					
05/13/08	APCO Employee - Local Travel		11.00	-				
			30.00					
05/20/08	APCO Employee - Local Travel APCO Employee - Local Travel		20.00					
06/01/08	• •		273.13					3
06/03/08	APCO Employee - Travel		20.00					3
06/04/08	APCO Employee - Travel		34.00					3
06/06/08	APCO Employee - Travel		189.50					3
06/07/08	APCO Employee - Travel							3
06/24/08	APCO Employee - Local Travel		56.00					
07/14/08	APCO Employee - Local Travel		7.00					
08/12/08	APCO Employee - Local Travel		10.00					
09/14/08	APCO Employee - Local Travel		9.00					
09/15/08	APCO Employee - Local Travel		16.00	•	120 42			4
04/25/08	APCO Telephone				138.43			4
04/30/08	APCO Telephone				234.56			4
05/06/08	APCO Telephone				972.71	-		4
06/09/08	APCO Telephone				53.21			
06/30/08	APCO Telephone				0.29			
07/09/08	APCO Telephone				62.77			
07/29/08	APCO Telephone				30.34	•		
07/30/08	APCO Telephone				83.35			
07/31/08	APCO Telephone	•			2.02			
08/06/08	APCO Telephone				98.70			
09/30/08	APCO Telephone				204.21			4
04/14/08	Meeting Expenses						238.77	5
04/04/08	Courier Services				19.66			
04/25/08	Courier Services				28.99			
04/28/08	Courier Services		•		3.21			
05/09/08	Courier Services				29.48			
05/20/08	Courier Services				9.33			
06/30/08	Courier Services				38.40			
07/22/08	Courier Services	′			54.19			
08/07/08	Courier Services				213.32			
	Total Embassy of the Republic of Kazakhstan		36,698.00					
German Fee	deral Ministry for the Environment							
<u>Date</u>	To Whom:	1						
9/07/07	APCO Duplicating				201.00			
09/19/08	APCO Duplicating				192.00			
7/14/08	Miscellaneous Expenses- FARA Registration						305.00	6
7/31/08	APCO Telephone	-			149.52			4
8/31/08	APCO Telephone				65.28			•
9/30/08	APCO Telephone				90.92			
2,50,00					, , , , ,			
	Total German Federal Ministry		1,003.72					

ATTACHMENT 15A Page 2

### Foreign Agent Report Expenses 04/01/2008 - 09/30/2008

Local Office Trans. Meals Expenses Travel Other Notes

### NOTES:

- 1. Fees to register potential urls for foreign principal.
- 2. Payments to Johns Hopkins University in connection with report and think tank event.
- 3. Costs in connection with trip to Seattle with foreign principal.
- 4. Aggregate phone and Blackberry charges for communications with foreign principal.
- 5. Transportation and other expenses in connection with meeting between Registrant and foreign princpal.
- 6. FARA filing fee.

### **ATTACHMENT 15C**

### 15.(c) Disbursements -- Political Contributions

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes [ ] No [X]\*

If yes, furnish the following information. N/A

	Amount or	Name of	
	thing of	political	Name of
<u>Date</u>	value	organization	candidate
Don Bonker			
June 30, 2008	\$1,000	Slatery for Senate	Jim Slatery
July 16, 2008	\$500	Fearing for Congress	George Fearing
July 28, 2008	\$250	Democratic Congressional Campaign Committee	
July 31, 2008	\$250	Hastings for Congress	Alcee Hastings
Aug. 1, 2008	\$250	Doggett for Congress	Lloyd Doggett
Aug. 19, 2008	\$500	Inslee for Congress	Jay Inslee
Jessica Emond			
June 18, 2008	\$62	Republican National Committee	John McCain
July 31, 2008	\$50	Republican National Committee	John McCain
Sept. 1, 2008	\$50	Republican National Committee	John McCain/Sarah Palin
Jan Hausrath			
June 10, 2008	\$100	Obama Campaign	Barack Obama
Sept. 15, 2008	\$250	Obama Campaign	Barack Obama
Margery Kraus			
July 31, 2008	\$750	Hastings for Congress	Alcee Hastings
Aug. 1, 2008	\$250	Doggett for Congress	Lloyd Doggett
Charles Krause			
Aug. 18, 2008	\$200	Schwartz for Mayor	Carol Schwartz

<sup>\*</sup> However, as detailed below, certain individuals who filed a Short Form Registration Statements made political contributions during the six-month period.

### **ATTACHMENT 22**

22.	Did you file with the Registration Unit, U.S. Department of Justice a copy of each
	item of such informational materials disseminated or caused to be disseminated
	during this 6 month reporting period?

Yes [ ] No [ X ] \*

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<sup>\*</sup> Registrant did not disseminate such materials; however, a copy of the materials is attached hereto.

### COMMON MISCONCEPTIONS IN THE WEST ABOUT KAZAKHSTAN

• Kazakhstan is frequently described in Western media articles as a "dictatorship" led by a "corrupt" and "autocratic ruler" who has been in power for "too long". In fact, Kazakhstan is a country that is only 15 years old and which in that short time has achieved remarkable progress in transforming itself from a former Soviet republic into a new and increasingly successful Western-style democracy.

### • Kazakhstan has a record to be proud of:

- a. It has settled its borders with its vast neighbors.
- b. It has strengthened its external security by a shrewd foreign policy that has achieved close ties with Russia, China, the United States and Europe simultaneously.
- c. It has achieved an unprecedented degree of interethnic harmony, thereby laying the foundations for internal stability.
- d. The economy has been privatized and has been growing at about 10% per annum for the last seven years.
- e. Oil exports will continue to grow and steps have been taken to avoid the so-called "Dutch disease".
- f. Ordinary people have strong property rights: they are able to borrow money from banks to buy homes, land and other property knowing that they have a real chance to develop a personal stake in the economy. When they get old or ill they know that they will receive adequate support and medical care.

The result is that today Kazakhstan is probably the most stable and developed country in the former Soviet Union.

- How was this achieved? Many Westerners think the story is only about oil. The truth is different. Since the beginning, President Nazarbayev and the Government have placed emphasis on achieving political stability and economic growth, without which there can be no long-term future for the country. The President was absolutely right to do this. The economy was privatized in the 1990s and today, thanks to this policy and the rapid development of the energy sector, we are reaping the benefits. By staying faithful to the strategy of putting the economy and stability first, the Government has been able in the last two years to unveil a series of important democratic reforms that will in time lead to a fully-fledged democratic state.
- Despite the obvious signs of progress, Kazakhstan has been frequently criticized in the West for being "slow" to implement democratic reforms. For us, it is hard to know what "slow" in this sense actually means. In England, for example, democracy developed over more than 700 years, with often violent interludes. In Kazakhstan we have achieved an extraordinary degree of political freedom in just fifteen years without any violence at all. This is an almost enviable record in the region in which we find ourselves.
- The reality which Western observers often forget is that democracy is not only about laws and institutions. It is fundamentally about custom, habit and culture supported by property rights backed by the rule of law, without which there can be no genuine democracy at all. In practice this means that it is impossible to create a parliament one day and expect democratic debate to occur in it the next. Or you cannot create a responsible opposition or media at the stroke of a pen.
  - Establishing and nurturing an independent judiciary is an even greater challenge, as is tackling corruption at all levels.
- A common misconception in the West is that in Kazakhstan we are "forcefully" being dragged
  down the path to democracy against our will. This is not true. We have chosen to become a
  democracy because we believe it is the best way to run our society, ensure the prosperity of

our people and guarantee the long-term security of our state. A large and stable democracy sitting at the heart of Central Asia is surely a positive thing for us and for our Western allies.

- Over the last three years Kazakhstan's Government has set out and started to implement detailed plans for further democratization and economic development. These reforms are an integral part of the Government's domestic agenda and they will be persistently implemented. Following on from the successful elections in September 2004 (Majilis) and December 2005 (Presidency), both of which were observed by more than 1,000 foreign observers, and building on the policies set out in the President's State of the Nation addresses in 2005-2007, the new plans for political reform have been meticulously developed and widely debated in the society under the aegis of the State Democracy Commission and envisage an enhanced role of the Parliament, nurturing of political parties and civil society institutions, building genuinely free media sector, efficient, fair and transparent judiciary system and institutions supporting the rule of law, developing and enhancing the traditions and culture of good and efficient local governance.
- The culmination of all above efforts came in May 2007 when a major Constitutional reform was announced. The gist of the Constitutional amendments is the gradual ceding of powers by the President to the legislature and a thoughtful move towards a parliamentary majority system. The amended Constitution calls for the election of the new, more powerful, political parties' based Parliament. The election is to take place on 18 August, 2007 thus a principally new phase of political development of Kazakhstan will be ushered in.

- KAZAKHSTAN
  AND
  JACKSON-VANIK AMENDMENT

  Background

  In 1974, Congress added an amendment to Title IV of the Trade Act that was clearly directed at all Communist countries (Yugoslavia and Poland and clearly directed at all Communist countries (Yugoslavia and Poland excluded), which would deny a country's eligibility for Normal Trade Relations status as long as the country prohibited its citizens the right of freedom of emigration. Its sponsors were Senator Henry Jackson, a champion of Jewish causes, and Rep. Charlie Vanik, then chairman of the House Ways and Means Committee.
- Jackson-Vanik is a relic of the Cold War. Jewish organizations pressed to have the amendment put into the Trade Act of 1974 to force Russia and socialist bloc countries to freely allow Jewish immigration. Any attempt to restrict movement would result in higher tariffs on goods and services from that nation.
- Only Congress can approve repeal of the Jackson-Vanik Amendment for a particular country, but the President can recommend a temporary waiver of full compliance if he determines that such a waiver would promote the objectives of the amendment (encourage freedom of emigration). It is the Congress, not the White House or Executive Branch, that has the authority to grant NPTR to a country.
- Today Kazakhstan and most CIS countries technically comply with the provisions of Jackson-Vanik (freedom of movement) but still receive discriminatory treatment (denying them "most favored nation" or NPTR). Georgia, Armenia and Kyrgyzstan have been granted NPTR status due to a combination of political upheavals in those countries and heavy lobbying by their expatriate groups in US.
- Since almost all the intended countries now fully meet the freedom-of-emigration requirement, the J-V Amendment is viewed as a relic of the Cold War. Yet Congress has seen fit to use this particular law to press various countries on a number of economic and political issues.
- Granting of NPTR (permanent waiver of Jackson-Vanik) is now linked to U.S. support of WTO admission. Russia's membership in WTO is stalled because the U.S. Congress has not voted to extend NPTR (it would likely not be approved because of President Putin's widely perceived retreat from democratization).
- Congress has no formal legislative role in the accession of countries to the WTO, though repeal of Title IV (PNTR) gives it a measure of influence on the negotiation of conditions for WTO accession.

• Failure of the U. S. Congress to approve MFN is seen as discriminatory and continues to be a difficult issue in the U. S. relationships with CIS countries.

### Jackson Vanik - Congressional Action on other Countries

Congress has not acted in a consistent manner in granting or denying PNTR (repeal of Jackson-Vanik) to former Communist countries. Here is a rough break-down on Congressional action to date:

- 1. Countries that were granted PNTR before WTO accession (making it unnecessary to invoke the non-application provision).
  - Albania
  - Bulgaria
  - Cambodia
  - Estonia
  - Lativa
  - Lithuania
- 2. Countries that joined the WTO before being granted PNTR. (In each case, the bill authorizing PNTR contained a "finding" that extending PNTR would enable the U. S. to avail itself of all rights within the WTO regarding that country).

	Country	Joined WTO	<b>Granted PNTR</b>
	Mongolia	1/29/1997	1/29/1999
•	Armenia	2/05/2003	1/7/2005
•	Kyrgyzstan	12/20/1998	6/29/2000
•	Georgia	6/14/2000	12/29/2000

(Moldova is the only WTO member to which the U. S. continues to invoke the non-application provision because it has not granted Moldova PNTR for foreign policy reasons.)

- 3. This year, Congress granted PNTR to Ukraine and Vietnam ahead of WTO accession (subsequently Vietnam has joined WTO).
- 4. Countries still not granted PNTR and are at various stages of the accession process:
  - Kazakhstan
  - Russia
  - Azerbaijan
  - Belarus
  - Tajikistan

### Uzbekistan

### Kazakhstan's case for repeal of Jackson-Vanick Amendment

- Kazakhstan has a deep tradition and commitment to freedom of religion. While
  the original Jackson-Vanik Amendment was about Jewish immigration, the
  deeper purpose has always been to end discriminatory treatment of Jews.
- Kazakhstan has assured, by law, all its citizens the right and opportunity to emigrate.
- According to the State Department's 2007 Human Rights Report, "Leaders of the Jewish Community in Kazakhstan reported no cases of anti-Semitism either by the government or in society."
- Kazakhstan, since gaining independence from the Soviet Union in 1992, has been annually certified as meeting the requirements of Jackson-Vanik;
- Kazakhstan has demonstrated a commitment to developing a free market economy and has achieved annual growth rates averaging ten percent for the past 7 years;
- Kazakhstan has demonstrated a clear and longstanding commitment to religious freedom and diversity, as evidenced by the fact that its Constitution defines the country as a secular state and the government continues to express its support for religious tolerance and diversity. Kazakhstan is an initiator and host to tri-annual Congress of World and Traditional Religions (two Congresses held so far in Astana in 2003 and 2006) and President Nazarbayev is personally committed to promoting religious tolerance and especially to suppressing Islamic fundamentalism in the region.
- Kazakhstan affirmed its commitment to reforming its political system in accordance with the standards of the international community.
- Kazakhstan, in its most recent parliamentary elections in August, 2007 invited 1,200 outside observers to monitor all aspects of the nation-wide election consistent with Organization for Security and Cooperation in Europe (OSCE) guidelines.
- Kazakhstan was unanimously selected by the fifty-six member nation OSCE to Chair that organization in 2010, and has publicly committed to advancing the principles of democratization and human rights envisioned in that organization's charter.

- Kazakhstan has continually affirmed its commitment to ending the proliferation of nuclear weapons by cooperating in the Nunn-Lugar Cooperative Threat Reduction program and by joining the Nuclear Nonproliferation Treaty;
- Kazakhstan, as the first country to implement the Nunn-Lugar program, continues to assist in the irreversible shutdown and safe storage of spent fuel from the BN-350 reactor at Aktrau. Safe and secure storage of this reactor's spent fuel is an important U. S. non-proliferation objective given that spent fuel contains a significant amount of weapons-grade plutonium.
- Kazakhstan has become a leader in advancing economic reforms, and has privatized ninety percent of its economy;
- Kazakhstan continues to improve the quality of life for its people by supporting the expansion of education, health care and civil society reforms;
- Kazakhstan and the United States have developed a strong partnership in the production and transport of energy, benefiting the citizens of both nations as well as Europe;
- Since 1993 the United States and Kazakhstan have had a Bilateral Trade
  Agreement that grants reciprocal, normal trade relations treatment as well as a
  bilateral investment treaty (BIT) that came into force in January 1994. In addition,
  Kazakhstan and the United States are currently negotiating a trade agreement in
  support of its effort to join the World Trade Organization;
- Kazakhstan has been a strategic ally in the War on Terrorism and the War in Iraq, and provides military engineers in Iraq in support of dangerous de-mining operations as well as no-cost overflights and diversion of landings for Operation Enduring Freedom and Operation Iraqi Freedom, all of which serve to save lives of U.S. service members.
- Congressmen and Jewish organizations are most welcome to visit Kazakhstan and see for themselves how the Jewish community as well as fledgling democracy are thriving throughout the country.

Given all above and Kazakhstan's significance to the prosperity and security of Central Asia, its proven record of meeting the requirements of Jackson-Vanik, full cooperation with the United States on nonproliferation and the war on terrorism and its efforts to establish a market-based economy and nurture democracy, permanent normal trade relations should be extended to the Republic of Kazakhstan under Title IV of the Trade Act of 1974, and Jackson-Vanik Amendment be permanently repealed from Kazakhstan.

### Democratic progress in Kazakhstan

In May 2007 President Nazarbayev announced a series of important political reforms.

In May 2007 Kazakhstan has re-fixed in the Constitution two terms for any president and reduced one presidential term from seven to five years, increased the powers of Parliament so that the executive branch is more accountable to it, introduced, in accordance with the OSCE's recommendations, proportional representation to elect members of the Majilis (Lower House) and established a party-based parliamentary system.

As per February 6, 2008 President's "State-of-the-Nation" address the government, judiciary and legislature in close cooperation with the ODHIR/OSCE, international NGOs and Kazakh civil society have set impressive political reform agenda for 2008:

- Amendments to the Plan on the implementation of Kazakhstan's Civil Society Development Concept for 2006–2011 are being drafted. International practice, in particular, the Netherland's experience of cooperation between NGOs and government agencies (social order mechanism) are taken into account;
- Judiciary reform package, providing greater transparency, public access to the court hearings, further improvement of Jury trials system (introduced January 2007) etc.

New Institution to Improve Court-Media Relations in Kazakhstan - the Kazakhstan - USAID Judicial Assistance Project was established 2007 to facilitate continued dialogue between the members of the judiciary and the media. Participants of the "Bench-Media Forum of Kazakhstan" meet regularly.

National Campaign Informs Kazakhstanian Citizens about their Rights. In April 2007, USAID's Kazakhstan Judicial Assistance Project launched the "Know Your Courts, Know Your Rights" national public education campaign. Together with 18 NGOs from around the country, the project had distributed 50,000 brochures in local languages with information on different aspects of the judicial system. The brochures were also available for free in every courthouse. A selection of educational articles were published in newspapers across the country, and public service announcements ran on national radio for five weeks. The entire set of materials is also available on the websites of the Supreme Court of the Republic of Kazakhstan and the Union of Advocates.

In 2007 Court Customer Satisfaction Surveys were placed in four courts in Kazakhstan: two oblast courts (regional level) and two rayon courts (local level). The surveys are part of a pilot project implemented by the Kazakhstan - USAID Judicial Assistance Project in collaboration with the Union of Judges of Kazakhstan. The surveys were designed to gather user opinions and comments to help measure the quality, performance, and effectiveness of the courts and their services. The

instruments are also intended to give citizens a voice and create a more active and concerned populace that will expect justice from their judicial system.

Several dozen completed surveys were submitted during the first month of the pilot, with **most respondents reporting positive experiences at the courts**. The survey results will help contribute to the continued development of Kazakhstan's judicial system. The Court Customer Satisfaction Survey will be replicated more broadly throughout Kazakhstan.

- Amendments to the Law on Political Parties will be submitted to the Parliament to provide for further development of the party system. Among others provision prohibiting one party Parliament is being considered;
- new Media law drafted by Kazakh NGOs together with Kazakhstan's Congress of Journalists and the OSCE Representative for Media Freedom (reducing the list of reasons for prosecution for libeling in the media and excluding the relevant clause from the election legislation);
- Kazakhstan continues its work with ODHIR/OSCE on reforming election legislation. A series of round table discussions under the title «Election Process Participants: Problems and Opportunities» is scheduled for 2008;
- Kazakhstan's Government is looking for the best forms of interaction with opposition. In November 2007 the Public Chamber, advisory and consultative body was established in Majilis (lower chamber of Parliament) to provide dialogue among all political forces in Kazakhstan. Its primary goal is to review draft laws, work out relevant recommendations and proposals. The PC consists of 30 members: public figures, lawyers, NGO and media representatives, prominent opposition leaders, businessmen, scientists;
- The Coalition of non-governmental organizations has been established in Kazakhstan to increase transparency and public control over MPs. Its members are Kazakhstan's International Bureau for Human Rights, press freedom foundation "Adil Soz", the International Helsinki Federation on Human Rights, Almaty Helsinki Committee, public foundation "Charter for Human Rights", opposition representatives Mr. Zhovtis and Ms. Turmagambetova;
- The "Road to Europe" program, announced in the President's 2008 "State-of-the-Nation" address, is a clear evidence of the country's democratic priorities and commitment to further political modernization. The aim is to accelerate the transition of our country to the western democratic standards and values; to establish continued

cooperation with the European partners, including, cooperation on improvement of Kazakhstan's electoral, party and mass media legislation in accordance with accepted OSCE commitments. The cooperation with EU countries on agenda of Kazakhstan OSCE chairmanship will be a special focus of the program. The draft of Presidential decree on implementation of the "Road to Europe" program will be prepared by July 2008;

- Kazakhstan has signed and ratified 35 major documents in the field of human rights International treaties on Civil, Political, Economic, Social and Cultural rights, Conventions of International Labor Organization. Ratification of optional protocol to the International treaty on Civil and Political rights as well as Optional Protocol to Convention Against Torture is expected this year. Kazakhstan fully supports efforts of office of the High Commissioner for Human Rights;
- Majilis has passed the UN Convention against Corruption on March19, 2008.

### Welfare

In February, 2008 "State-of-the-Nation" address the President stressed that social policy plays an important role in raising living standards of Kazakh citizens. He told that continued improvement of social well-being for all social groups of the Kazakhstany society has been and will remain at the forefront of government policy.

Healthcare and education, new jobs and high-quality housing rank high on the President's agenda and the newly introduced three-year national budget will provide significant benefits for the population:

- Overall rise of average pensions by the factor of 2.5 between 2007 and 2012, including a 25% rise in 2009, a 25% rise in 2010, and a 30% rise in 2011. Meanwhile, base pension benefits should reach 50% of the subsistence level by 2011;
- A 9% annual average increase in government social benefits and specialized government benefits starting in 2009;
- A phased increase of the monthly child care benefit once the child reaches one year of age, to exceed the 2007 benefits by an average factor of 2.5 by 2010-2011;
- An increase of one-off benefits for the birth of the 4th child and more, in excess of 4 times the 2007 amount, starting as of 2010;

• In 2009, the annual quota for government supported resettlement of Oralmans (Kazakhs coming back to the country from abroad) to their historic homeland should be raised by 5 thousand families to 20 thousand families a year.

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### **KAZAKHSTAN**

### The Democratic "Safety Valve"

In May 2007 President Nazarbayev announced a series of important political reforms designed to take to the next stage Kazakhstan's unique experiment to establish a genuine democracy and civil society. Among his proposals was judicial reform, the reduction of the presidential term from seven to five years, increasing the powers of Parliament so that the Government would be accountable to it, introducing proportional representation to elect members of the Majilis (Lower House) and establishing a party-based parliamentary system.

Although the President's announcement was greeted positively in the United States and Europe, the coverage in the Western media has been almost wholly negative, focusing on the decision by the Majilis to grant President Nazarbayev the right to stand as a candidate for the presidency for a third time when his term of office expires in 2012. The gist of the media comment is that this is yet another example of the "authoritarian" Nazarbayev centralizing power around himself and his family. It was widely reported, quite incorrectly, that he has arranged for the Majilis to vote him "President for life".

The truth, however, is different and should be properly understood. First, we should be clear about what the Majilis voted for. Under the Constitution of the Republic of Kazakhstan, the maximum number of presidential terms to which an individual may be elected is two. This rule is the same in the United States. President Nazarbayev is currently serving his second term. The Majilis' decision to grant Mr. Nazarbayev the right to run for a third term of office did not grant him the presidency "for life". It permitted him by law to stand for election a third time, and only if he so chose — no more, or less.

Second, this vote was a *unanimous* decision by the elected Members of Parliament. It was not an executive order from the President. Under the Constitution, President Nazarbayev – indeed, any president – cannot refuse Parliament's unanimous decision under any circumstances, whether he supports the proposal or not.

Third, the Majilis took their decision in the light of the unique set of circumstances facing the country, granting a privilege - certainly - but also imposing an

onerous duty upon a single individual whose role in the founding of the State of Kazakhstan has been pivotal. This is not an example of an autocratic leader propping up his power. It is the behavior of an increasingly stable and prosperous society seeking to bolster one of the main anchors upon which the security and welfare of millions depend.

The key to understanding what the Majilis voted for lies in understanding Kazakhstan's quest for stability. As any informed observer of events in the former Soviet Union will acknowledge, ill-considered or overhasty experiments with democracy have unleashed centrifugal forces that have been deeply harmful. The result has been economic failure, inter-ethnic discord and, in some cases, a reversal of the very freedoms that democratic reform was supposed to bring about. Kazakhstan, with its vast territory and small but hugely diverse population, has a once-in-a-lifetime opportunity to build a viable and prosperous state. It cannot afford to play with experiments that will not succeed.

For this reason, the Majilis' decision to grant President Nazarbayev the unique status of being able to stand for election to a third term of office, if he so chooses, is sensible and pragmatic. In the short- to medium- term, it is the best guarantee for the stability of the state of Kazakhstan. For the law does not mean that Mr. Nazarbayev will be President for life, or that he may stand for an indeterminate number of times, or even that he might stand unopposed it simply means that in the run-up to 2012 the President and Kazakh society as a whole will be required to form a judgment about how deeply the democratic reforms have taken root in Kazakh society.

The country will need to decide if the candidates to be Mr Nagarbayev's successor, as well as those who support them, genuinely represent what the French political philosopher Rousseau described as the "general will" of the people. President Nazarbayev's democratically bestowed right to stand for a third term provides, on the one hand, a strong incentive for the political parties to choose strong and effective presidential candidates. On the other hand, it offers the people of Kazakhstan a safety valve should the political parties fail to take this unique opportunity to display their maturity and fitness to govern.

If, as the President sincerely hopes and believes, the institutional reforms are sufficiently entrenched to guarantee a smooth and stable handover to his successor, then the main purpose of his administration will have been achieved.